



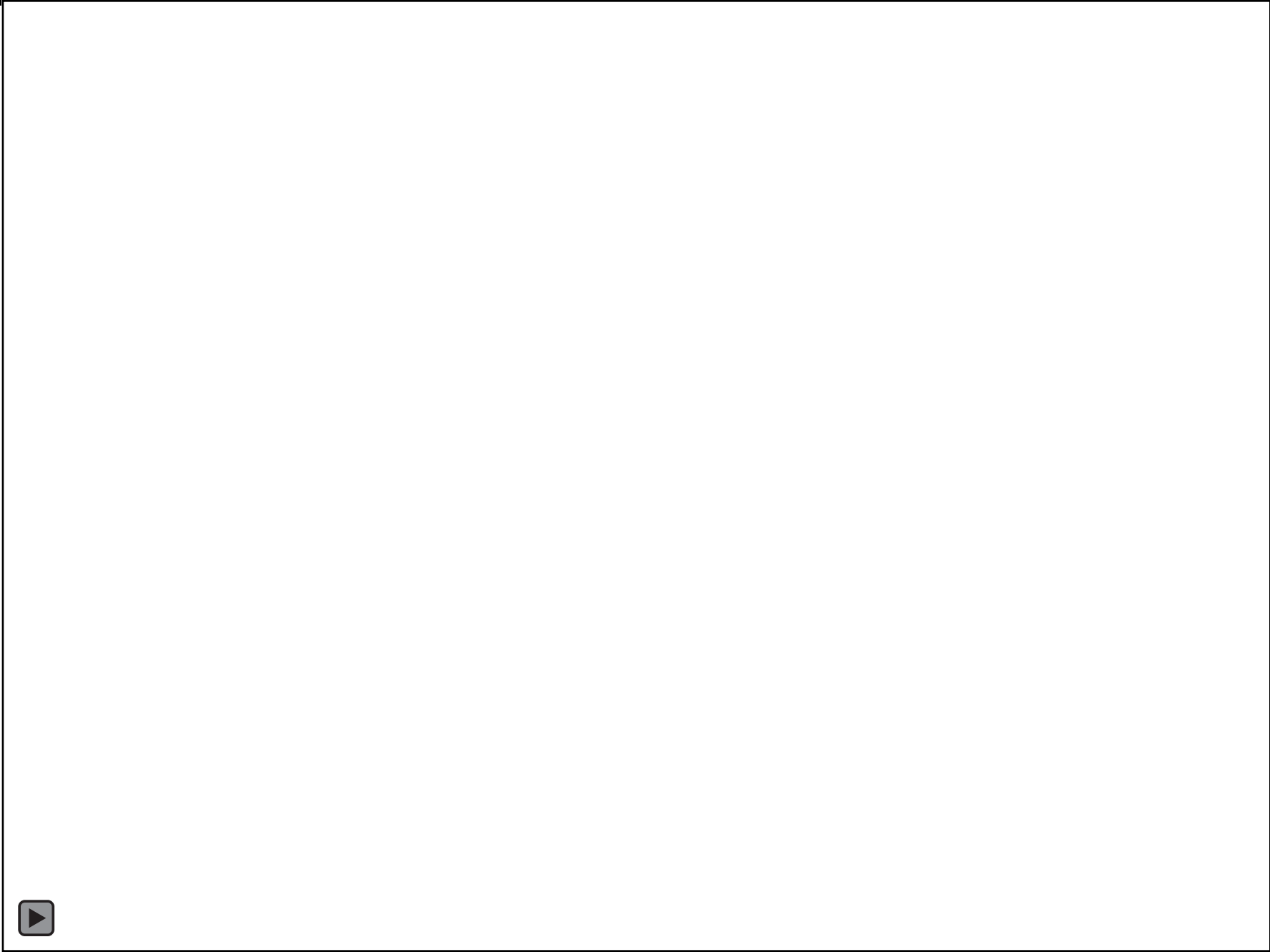
# ~~Quo Vadis~~ που πάτε, PSE Data Streams?

**Michael Baldea – The University of Texas at Austin**

**Victor Zavala – University of Wisconsin, Madison**

FIPSE -5, June 27, 2022

# The Hunt for Red October (1990)



# Data Insights?



# Data Insights?



- Various **data sources** (sound, sonar)
- Chasing an elusive target: not clear what **actionable information** is available
- **Data analytics based on ML fails**: the computer runs “SAPS” - signal algorithmic processing system - and reports a seismic anomaly
- **Human skills** intervene in **data representation**: 10x the speed reveals a pattern
- Use sound data and map to infer trajectory of enemy submarine

# Data Insights?



Most fields face the same problem today

- **Acquire:** new data types
- **Interpret:** provide actionable information
- **Act:** respond
  
- Leveraging **human knowledge** and skill is essential

**Agenda for today:** Recent and emerging data types do not fit neatly into the PSE format  
– challenges and opportunities

# A Look Around Us...

- 1948 Ford F-series



- 2022 Ford F-150 Lightning

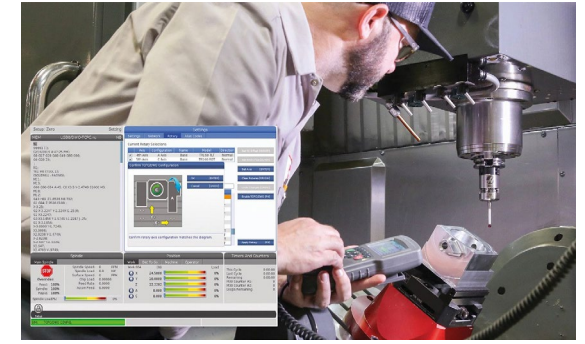


- “Lathe Workers” by F. Eichhorst (1948)



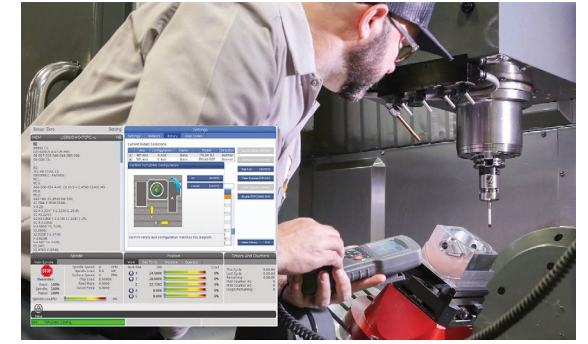
- 2022 Haas CNC 5-axis mill





## Progress since WWII

- **Developed low-cost sensors for many types of variables**
  - Chemical
  - Mechanical
  - Position/distance
- Integrated these sensors in **meaningful standardized systems**
  - Environmental
  - Safety
  - Communication
  - Leveraged real-time processing to implement in practice

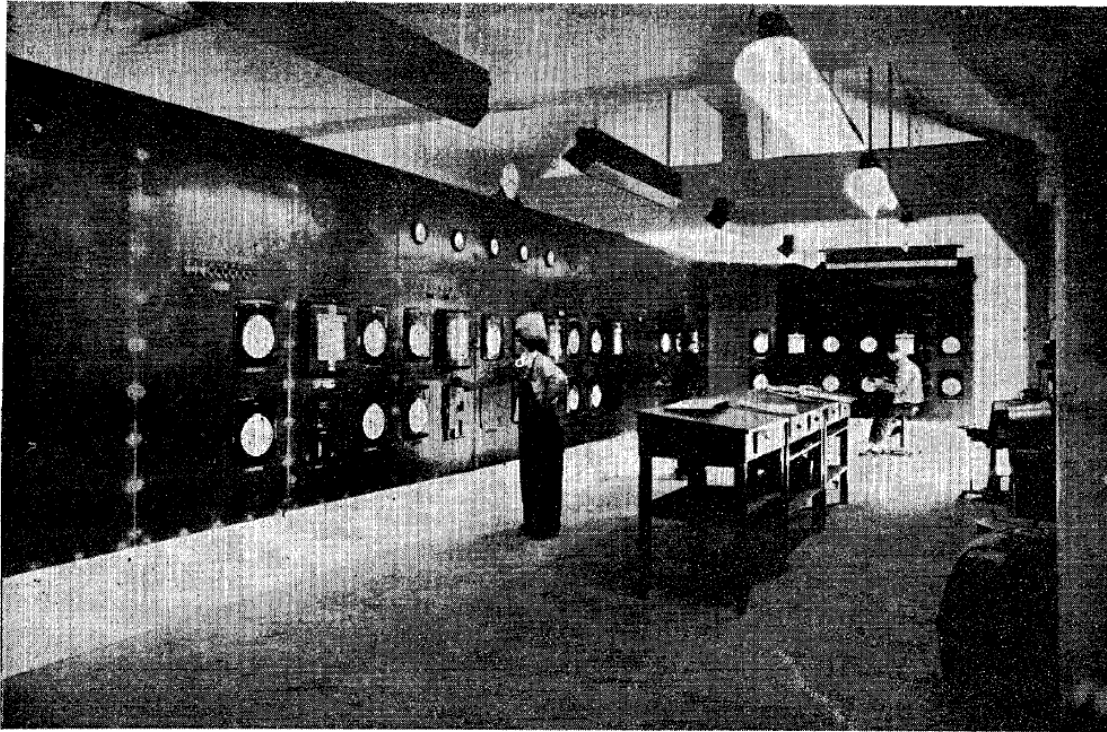


- **Massively scaled and standardized production to reduce cost**
  - A few product lines/ small number of versatile platforms
  - Profit comes from volume and margin
- **Accelerated the development cycle**
  - Massive R&D investment in house
  - New models marketed every 5-8 years
  - Can afford some failures/flops



# Chemical Industry

Control Panel of a Thermoform Catalytic Cracking Plant



*12 instruments*

*F.J. Van Antwerpen, Ind. Eng. Chem. 1944, 368, 694-698*



- Circa 1990s (?) – taken from Tom Edgar's lecture slides



### **Advances in data acquisition:**

- More reliable and accurate sensors, equipment
- Much higher sampling rates, wireless transmission, data storage

### **Stagnation:**

- Largely measuring the same variables (P,T,F,x): time series of scalars
- Sensors still expensive (+installation)
- Key variables (e.g., heat rate) remain unmeasured, others (e.g., concentration/composition) are difficult to measure



### **Advances:**

- Soft sensors
- Massive historian databases
- Improved visualization
- Data analytics

### **Stagnation:**

- Results are not always easily interpretable (PCA)
- **GIGA (garbage in, garbage out):** still the same sensors
- **We still cannot close plant material and energy balance**



### **Advances (real-time):**

- MPC revolution + System identification
- Real time optimization
- Fault detection and isolation

### **Stagnation:**

- MPC is still MPC
- RTO not broadly adopted
- One-off approach for implementation



### **Advances in process operations (off-line):**

- Algorithmic developments in planning and scheduling
- PSE community leading the way in MILP (e.g., DICOPT), NLP (IPOPT), global optimization (BARON, Antigone), and modeling environments (gPROMS, Pyomo)

### **Stagnation:**

- Open-loop decision making
- Exploit new architectures (parallel, GPU, embedded, edge computing)



### **Advances in process design (off-line):**

- Process simulation now common
- Data reconciliation

### **Stagnation:**

- Still “optimizing” by hand
- Connect process and molecular design
- Open-loop decision making: implementing lessons learned **from data** in new designs?
  - Designs cycles are long, many plants are one-off

# Key Strengths of the PSE Community

## **Fundamental:**

- Deal with **complex**, large scale, nonlinear, distributed systems
- Real-time and off-line
- Account for uncertainty
- Create abstractions

## **Applications:**

- Enormous scales: physical, temporal, financial
- Diversity of products
- Good safety record

# Some Key Challenges

- Scalability: many plants are still one-of-a-kind
  - **DATA perspective**: reduced opportunities for transfer learning
- Failure is not an option
  - **DATA perspective**: not enough data for training ML models
- Training and thinking
  - Channeled by unit operations and input and output variables that are scalars
  - **DATA perspective**: new data streams may not fit this pattern



# Potential New Data Sources

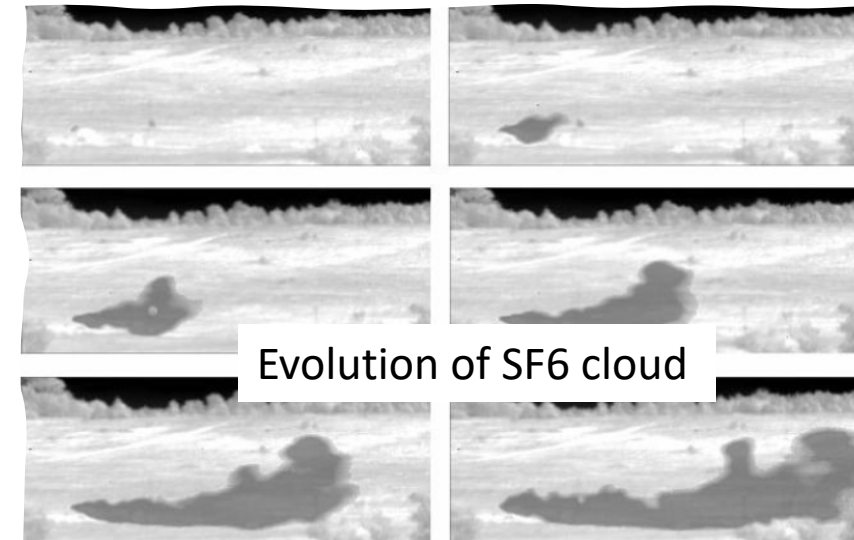
## Imaging:

Hyperspectral: combine e.g., IR/Vis/UV

Chemical imaging: include spectrometry

Cover large areas

**Data format: data hypercube**



# Potential New Data Sources

**Vibration:**

**Acoustic imaging** combines visible spectrum imaging with arrays of microphones to detect sources of sound

**Data format: data hypercube**



# Potential New Data Sources

Replicate human senses:

“electronic nose” detects chemical signatures via sensor array

Data format: spectra, generally in processed format



# Potential New Data Sources

## Disposable sensors:

The norm in medical/food applications

Multivariable sensing (T, pH, dissolved O<sub>2</sub>, ...)

Use to ensure uniform processing experience for every molecule

**Data format: time series for a limited time**



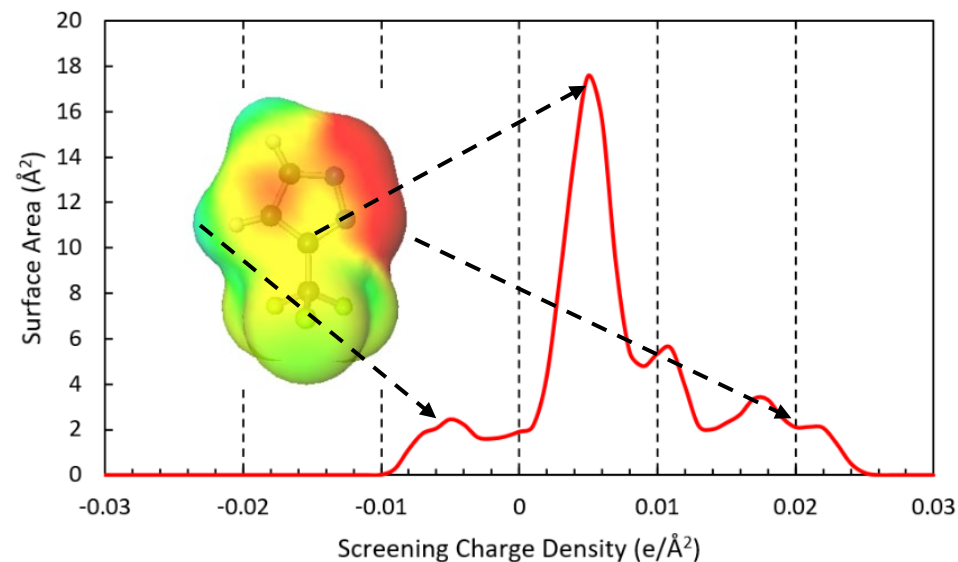
# Potential New Data Sources

**Not all new data come from the field:**

Sigma profile: probability distribution of charge density for a molecule

Generated a-priori using quantum mechanics calculations

Data format: spectrum



# Potential New Data Sources

## Stories:

Recording/videotaping experienced operators

Operator logs

Data format: audio/video recordings of natural language



# Some Trends

Data are complex mathematical structures

- Not a time series of scalar values
- Not easy to visualize or interpret

Not directly actionable

- Not a good objective function
- Condense to scalar value without loss of information



# Extract Information <> Dimensionality Reduction

PCA/PLS

Convolutional neural networks: extract geometrical parameters

Recurrent neural networks: model dynamics

Dynamic mode decomposition: dynamic models from sequence of tensor data

Topological/geometric tools: projection on lower-dimensional manifolds

(geometric deep learning)





# Unresolved Challenges: Interpretation

Resulting models are not generalizable

Success in other areas (e.g., automotive) due to standardization

Not easily interpretable: (PCA – what do the components “mean”)

Scalability – training requires lots of data



# Unresolved Challenges: Action

Assume data condensed in meaningful way

Absorb into MPC structures

Can we get the right models? Step tests? What is a “step change” here?

Build (another!) NN to embed in the MPC - need EVEN MORE data

Switch to other control paradigm: reinforcement learning – often not an option.



# Agriculture

## Problem:

- Control moisture content in soil, nutrient distribution, etc. to maximize production

## Strategy:

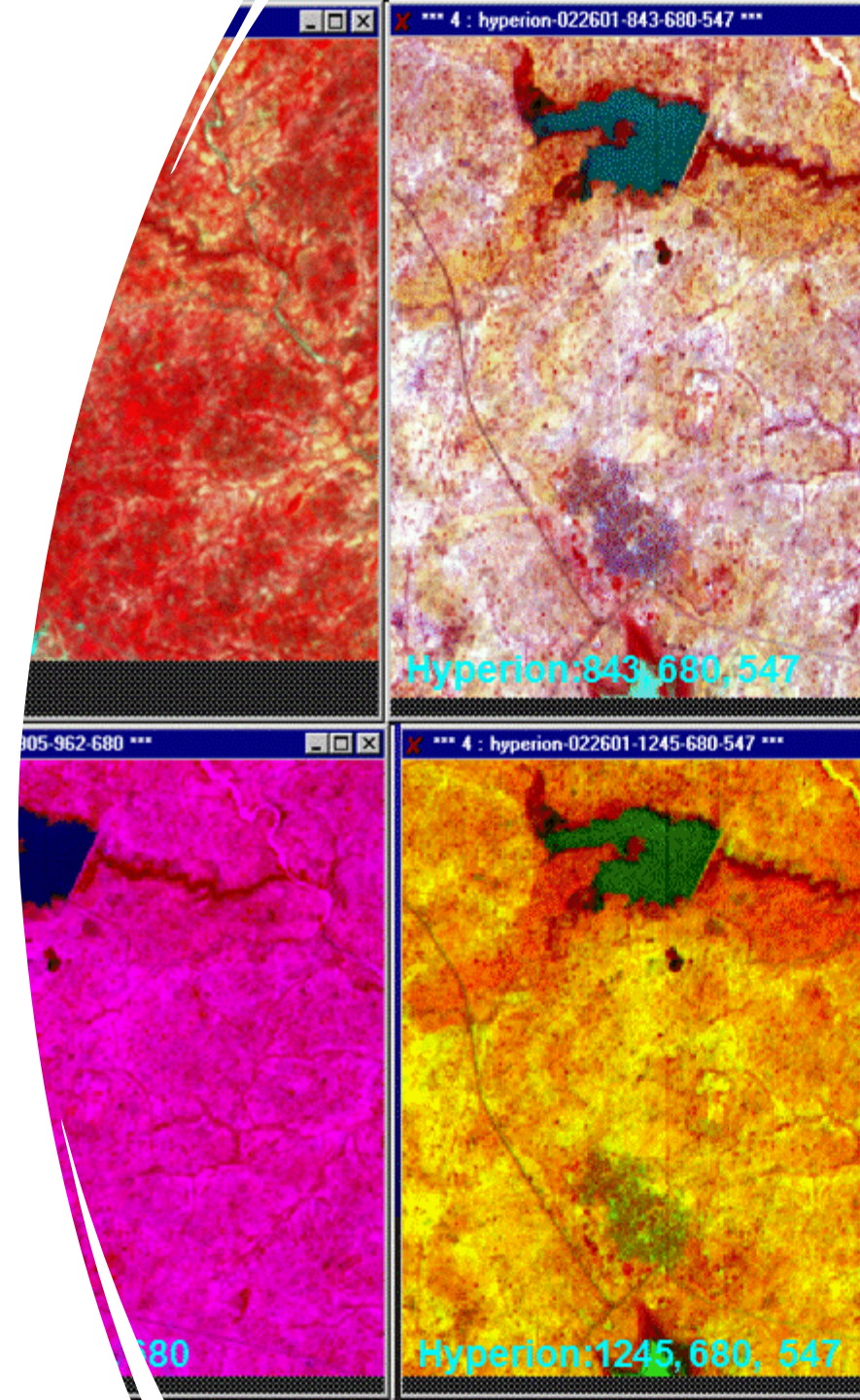
- Predictive control of irrigation, crop dusting, fertilization

## Data format:

- Hyperspectral imaging

## Impact:

- Enormous, given current and future geopolitical situation



# Agriculture

## Problem:

- Production of value-added products (biogas, biofuel, fertilizers) from distributed sources (plastic waste, renewable power, food waste, wastewater)

## Strategy:

- Learning-based control for autonomous modular production units (with information sharing)

## Data format:

- Process data (decentralized)

## Impact:

- Enormous: currently most plastic waste and livestock waste is not recycled.
- Many places around the world do not have access to fertilizer.



# Food

## Problem:

- Minimize food waste in supply chain of perishable products

## Strategy:

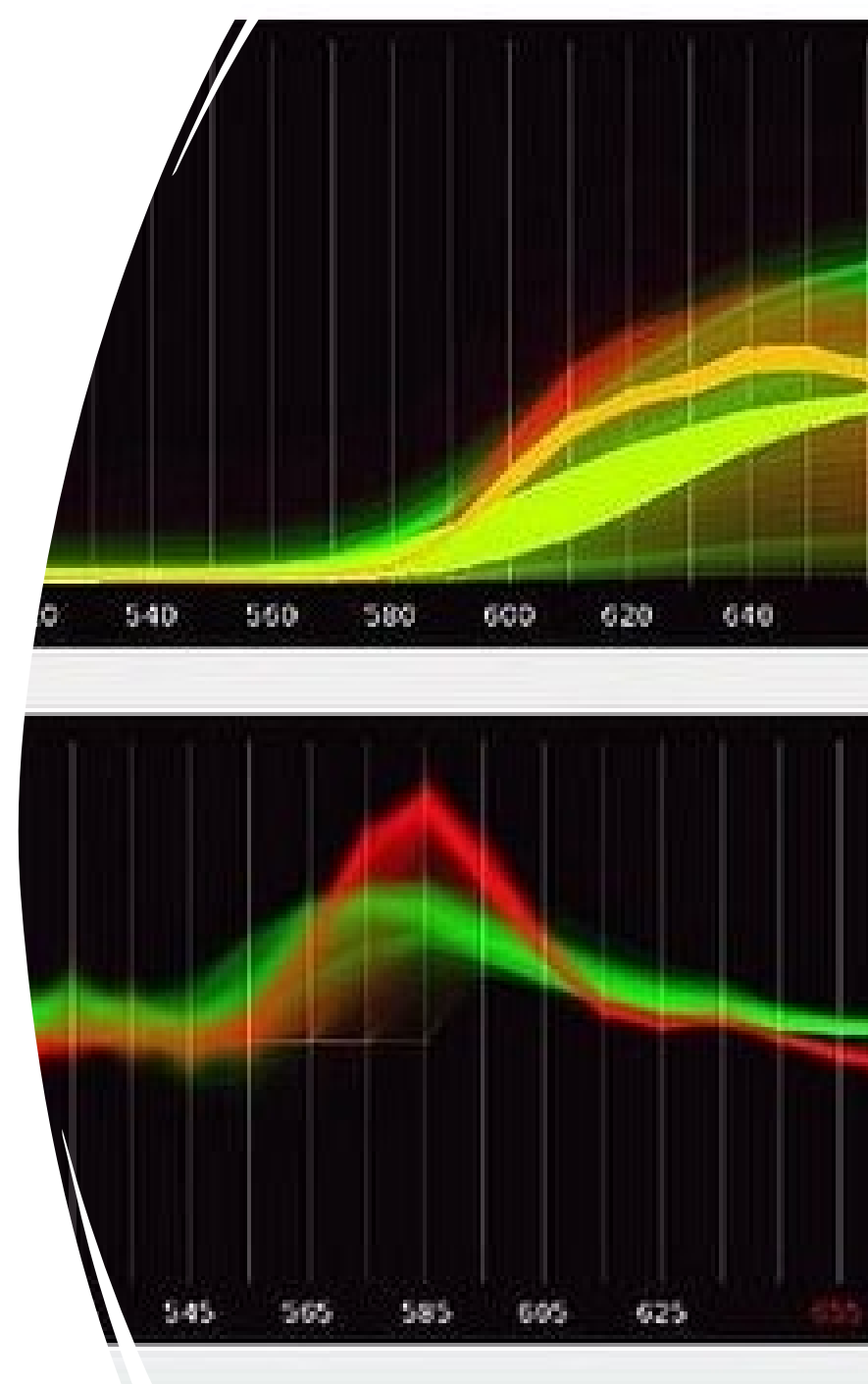
- Feedback-based supply chain management
- Model degradation rates
- Manipulate storage/shipping temperature

## Data format:

- Hyperspectral imaging, disposable sensors

## Impact:

- Enormous: about 30% of food goes to waste in the supply chain while 800 million people experience food insecurity



# Environment

## Problem:

- Minimize greenhouse gas (methane) emissions from production sites

## Strategy:

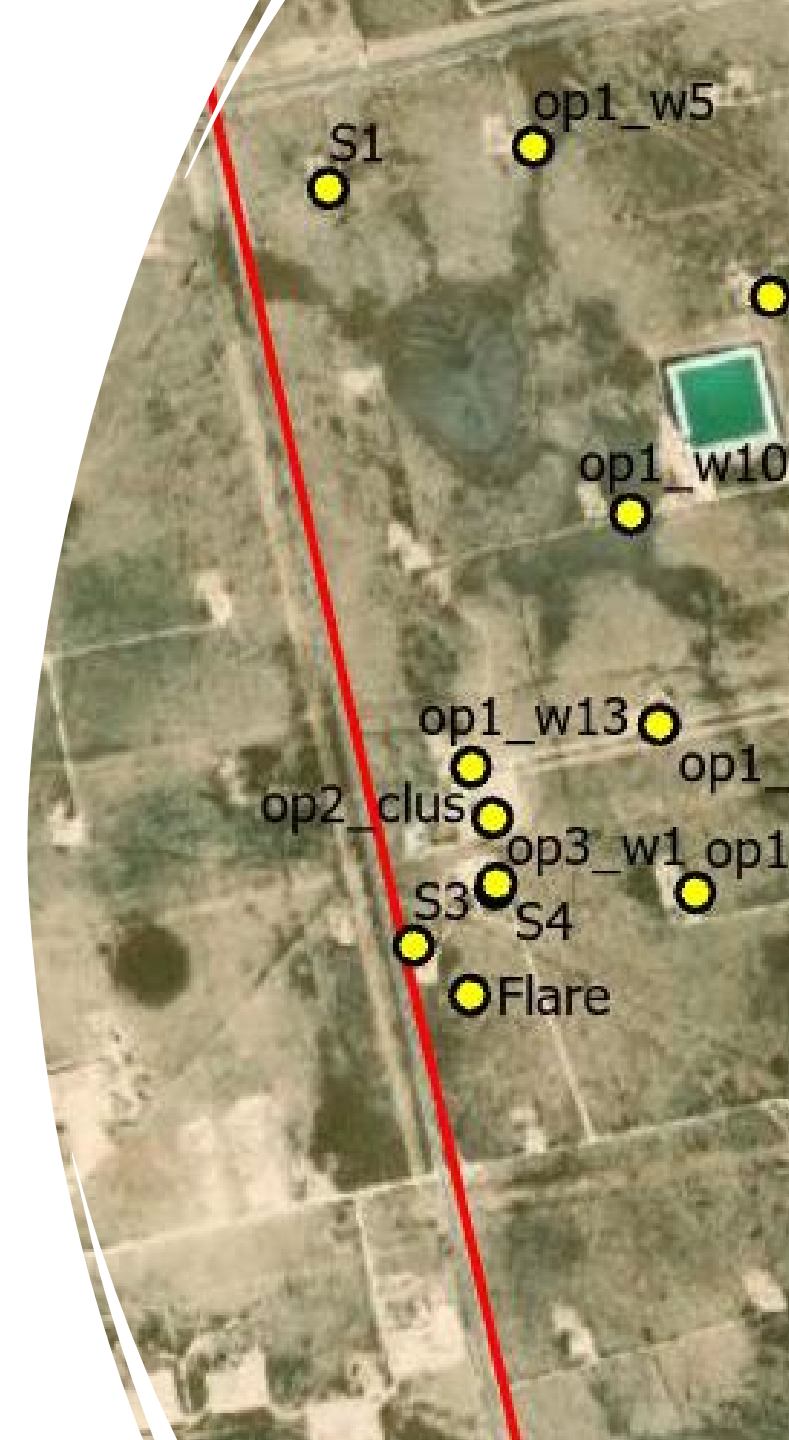
- Detection at sensor location
- Trace back to source
- Dispatch service to mitigate

## Data format:

- Chemical imaging
- Atmospheric dispersion models
- Weather data

## Impact:

- Enormous: 200,000 sites in Permian Basin, TX alone.  
CH<sub>4</sub> is 25x worse than CO<sub>2</sub> as a GHG



# Environment

## Problem:

- Simultaneous design of solvents and processes for CO<sub>2</sub> capture

## Strategy:

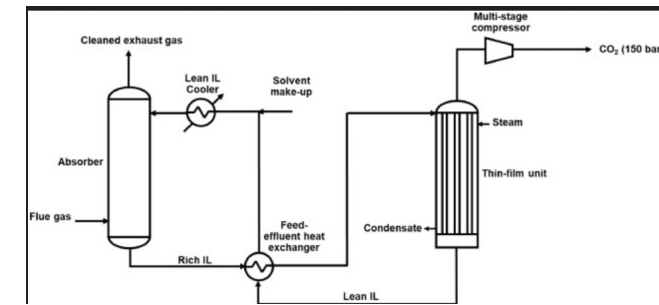
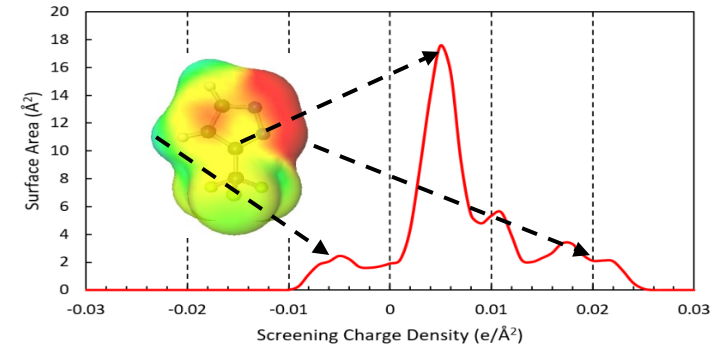
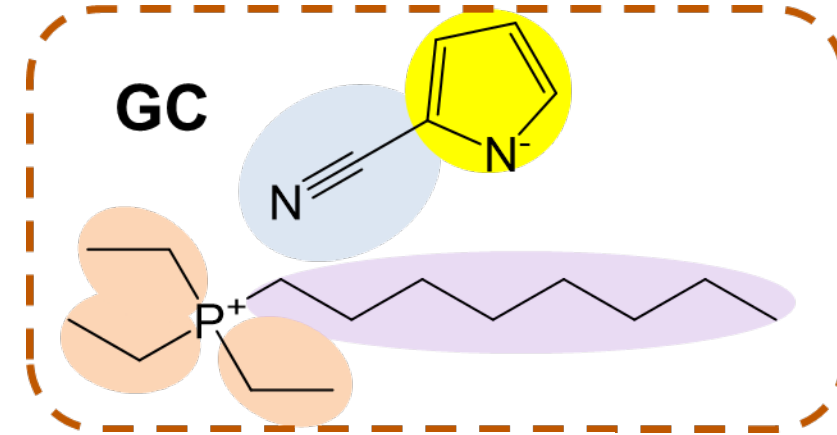
- Multiscale modeling, including QSPR
- Flowsheet optimization

## Data format:

- Sigma profiles + experimental macro properties
- Power plant data

## Impact:

- High. Power plants are not going away in the energy transition...



# Operations

## Problem:

- Electrification of process industry
- Ensure every molecule experiences same processing conditions, particularly in transient operation

## Strategy:

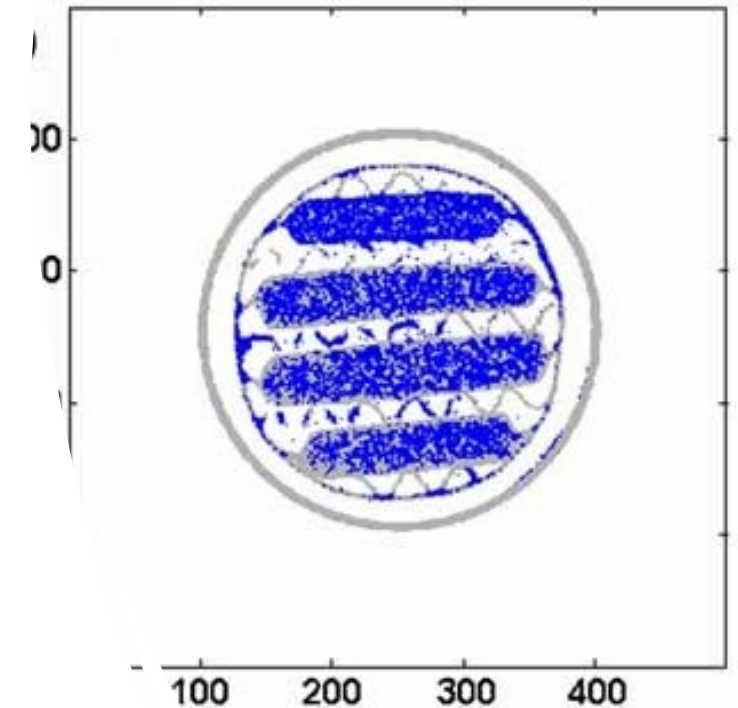
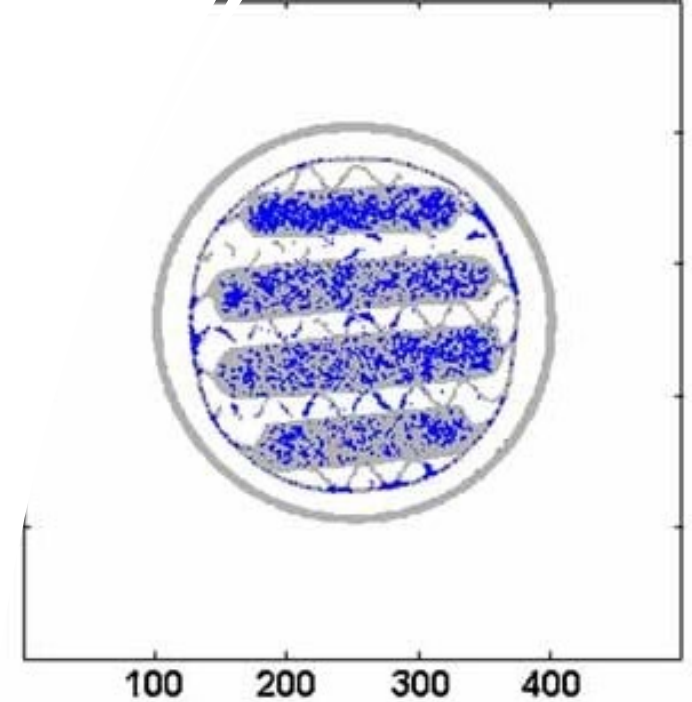
- REAL-TIME Imaging unit operations using sound, capacitance tomography, etc.

## Data format:

- Data hypercube

## Impact:

- Very high – we are treading uncharted waters





# Fundamentals

## Problem:

- Discover governing equations for processes/phenomena

## Strategy:

- Collect experimental data
- Build models using sparse learning

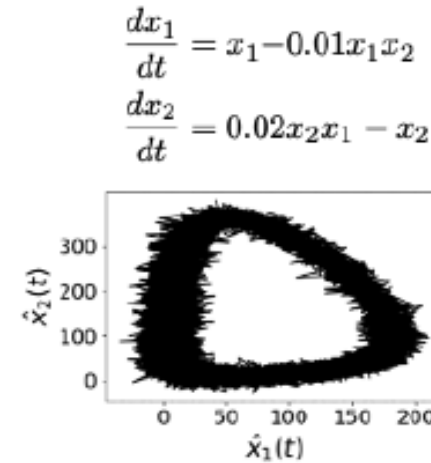
## Data format:

- Data hypercube

## Impact:

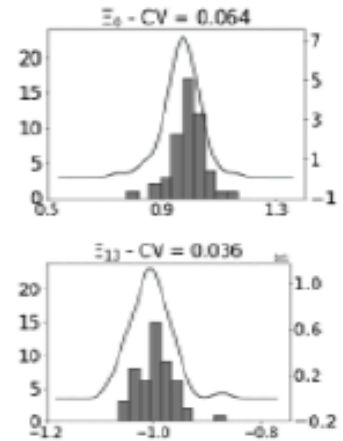
- Very high – accelerate science

### A. Data collection



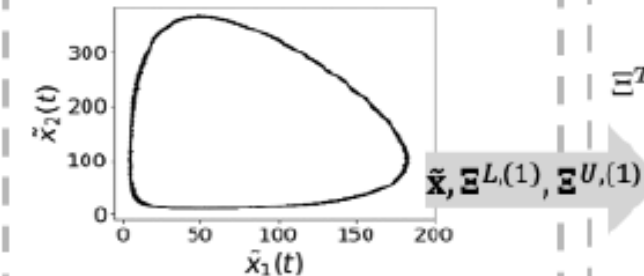
### D. Parameter threshold

Eliminate parameters with



### B. Pre-processing

Data filtering



Ordinary Least Squares (OLS)

$$\dot{\hat{\mathbf{x}}} = (\Xi^{OLS})^T (\Theta(\tilde{\mathbf{x}}^T))^T$$

### C. Moving horizon dyn

Discretize dynamic mo

$$\Xi^T (\Theta(\mathbf{x}^T))^T = \begin{bmatrix} \bullet & \bullet \\ \bullet & \bullet \end{bmatrix}$$

For  $i \in \{j, j + \omega\}$ , sol

$$\min_{\Xi, \mathbf{x}} \frac{1}{2NK} \sum_{i=0}^N \sum_{j=0}^K \|\mathbf{x}(t_{ij})\|$$

**New data sources may not fit familiar data formats**

**Extract key information**

**Formulate design, control problems**

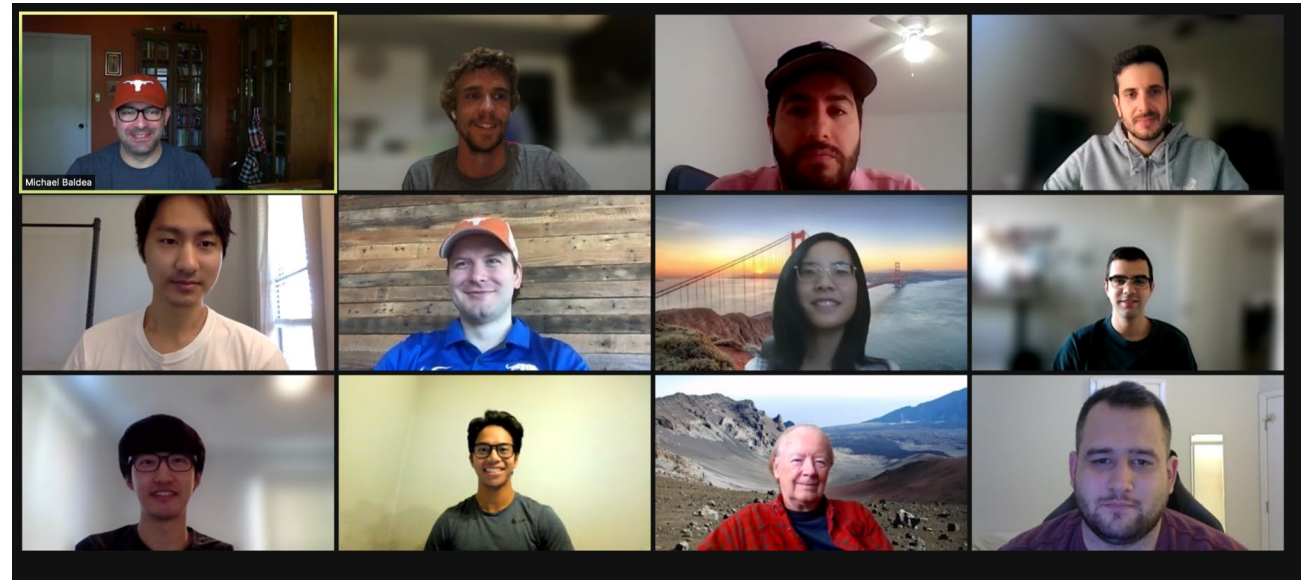
**Can have enormous impact**

# Acknowledgments: MB

Students: R.C. Pattison, C. Tsay, F. Lejarza, K. Seo, A. Retnanto, X. Tang, J. Martorell

Collaborators: T.F. Edgar, M.A. Stadtherr, J.F. Brennecke, R. Baldick, S. Wright, G.T. Rochelle

Sponsors: NSF, DOE-NETL, ARPA-E, UT Energy Institute, ExxonMobil, Shell, ABB



Old slides

# New applications

- Agriculture: control moisture content in the soil, distribution of fertilizer, etc...  
[efforts in precision agriculture are underway, led by electrical engineers... ]  
Production of value added products from waste (biogas, biofuel, ammonia? )
- Supply chain of perishable products

# Potential New Data Sources

- Vibration (in audible spectrum, but also higher/lower frequencies)
- Imaging (hyperspectral – IR/Vis/UV), three dimensional (see e.g. 3d printing)
- Analytics
  - Spectrometry (IR/UV/Mass/...)
  - Dimensional/geometry (crystal shape, length, etc.)
  - Replicate human senses (electronic nose, tongue, tasting panels...)
- Cheap disposable sensors (move with process stream?) – in the medical field all the sensors are disposable



# What exactly does a controller for a chemical process have to do

- Number one mission is SAFETY (same as in automotive, btw)
  - Cannot afford a single slip-up
- Emissions: has been a concern, will become more prominent due to growing environmental concerns
- Product quality: sometimes substandard product can be “blended away” but not always
- Economics (what to make)...
- Other things: maintenance/predictive maintenance

We already do these things... what could we do to do them better, given the technology that we recounted earlier

# To start: What are We NOI Good At? Vs other fields

- We cannot fail:
  - Difficult to do things in a sandbox like power systems, automotive, robotics
  - Need to try stuff on the real deal: experiments in a \$10bn chemical complex are frowned upon, to say the least: cannot afford iterative learning, model updating etc.
  - Note that this \$10bn facility is likely like no other, so nothing that is learned here transfers directly to somewhere else
  - We don't have good data for failure: want these to not happen at all
  - While unit ops went a long way in adding some structure to our thought process, it is still a far cry from the level of standardization that other fields have (e.g., power systems).
  - We also have an immense diversity of feedstock and products, so standardization is difficult
  - We are process oriented (i.e., the incarnation) rather than technology oriented (i.e., the concept).



# The next frontier: - theoryAutonomy?

- The Plant decides what to do (ahahahaha)
  - What could it decide: production rate, product grade, what feedstock to run and when (cannot give up on safety and environmental)
  - Basically this is a supply chain problem, so should we move control concepts into the supply chain
    - We have already... (work on MPC applied to scheduling/planning)
  - HOWEVER, it could be interesting to add more sensing to the supply chain (per the sensors we discussed earlier).
    - For example, consider the supply chain of perishable products (food, vaccines, fresh produce, meat, biologics such as transplant organs). The characteristic is that these products change (actually, unfortunately, degrade) as they move through the supply chain, with the possibility that they decay beyond being safe to use BEFORE they reach their end-user.
    - Low-cost disposable sensors; could travel with the product and be discarded.
    - Incorporate monitoring of quality variables (freshness/degradation/rottenness) with imaging

# Capturing stories? – In the wake of retiring operators

- Text processing, natural language processing
  - What I tell the computer is often not what I WANT it to do – miscommunication
  - On the other hand, a practical and pressing need is to capture the knowledge of an ageing base of experienced operators
    - Many companies are videotaping them as they relate their experiences
    - Not sure what came of this, but turning the lore into a manual for younger personnel is of paramount value.
    - Machine summarizing a text – doesn't exist yet.
    - Automated interpretation of data – can a machine look at data and provide an explanation of what is happening in the plant – this has major safety implications since it would provide operators a means to react in real time. Expert system – we still don't have them.
- \*as a side note- accidents in the chemical industry happen because operators have not responded fast enough to an incident. It is not fair to expect them to do so, given that investigation boards (who are not under pressure) often take MONTHS to reach a conclusion.

# Autonomous discovery: Community of automated/autonomous molecule synthesis

- Challenge is linking structure to properties in a predictive way, closing the loop on making new structures with desired properties; bypass expensive instrumentation
  - Electrical engineers cannot do this
- Natural language processing, visualization of molecules
  - Manipulating complex structures with constraints (steric...)
  - Optimization of these structures
  - Build mini chemical plants, flow chemistry
  - Cannot characterize molecules in real time, but they can identify measurable variables that they correlate to structure.
  - Scheduling robots to do work in the lab (Chris Rao)?
  - Scheduling drones for surveillance/monitoring?
- Data centers:
  - Distributed data centers linked via private network – kind of like distributed manufacturing... not sure what we can learn from them, since they have infinitely scalable capacity and the cost to shift data is much lower than the cost of shifting “Stuff”

# New objective functions:

- Minimize waste through the supply chain (or in production in general)
- There could be secondary benefits in environmental metrics (emissions, toxicity – health benefits ...)
- New collaboration paradigms: many supply chains are not vertically integrated (particularly food)
  - How do we communicate?
  - What does the picture of a rotten banana in a supermarket in Heraklion mean to a grower in Colombia?
- Sensors aligned with human perception (vision, taste, touch...) – how can sensations be translated to control objectives.
  - A fruit “seems ripe” to us – what does that mean?

# How do we process this information?

- Sensors aligned with human perception (vision, taste, touch...) – how can sensations be translated to control objectives.
  - A fruit “seems ripe” to us – what does that mean?
  - Classification?
- How does the brain process this info to translate into some action?
  - Learned experiences + current sensation = action
  - “As soon as I smell beer, I start salivating”
  - Information storage and classification – we probably do that better than the current computing systems.
- How does the brain process color/odor? We can learn from biological systems
  - The first things that brain does when it sees color is to decompose the image (not RGB, but in 3 color spaces that are orthogonal – allows brain to extract much more info than from RGB)

# Leverage cloud computing

- Use mixtures of computers to process data on the edge or on the cloud
- Say we have 100 cameras collecting data – how can this be structured for processing, must do some processing locally
- Federated learning
- Broader question is how do deal with high frequency high volume data from distributed sources. Where do we process them.
  
- Embedded computing platforms – automotive industry is already doing this. What can we do with them?